



Introduction

Koch Ag & Energy Solutions, LLC and its subsidiaries (collectively, “KAES”) are committed to conducting all affairs lawfully and with integrity. This commitment extends throughout our global organizations, no matter where we do business. Relationships with persons or entities who provide goods or services to KAES or who are authorized to conduct business for or on our behalf (collectively, “Third Parties”) who demonstrate they share this commitment are a key part of KAES’s continued success.

Section 54, Part 6 of the Modern Slavery Act 2015 (“Act”) requires companies with an annual turnover in excess of £36m to issue a statement about steps taken to ensure that slavery and human trafficking is not occurring. The following information is provided pursuant to the Act.

Organization’s Structure

KAES is a global provider of value-added solutions for the agriculture, turf and ornamental, energy and chemical markets. We are a wholly owned subsidiary of Koch Industries, Inc.

KAES is one of the world's largest producers and marketers of fertilizers. These companies own or have interests in fertilizer plants in the U.S., Canada, and Trinidad and Tobago and a distribution network covering global demand through state-of-the-art terminals in the U.S., Canada, Mexico, Brazil, and Australia.

Our Supply Chains

As part of our initiative to identify and mitigate risk, we have processes in place to vet our employees as well as Third Parties prior to them engaging in any business activities. Individuals that work for KAES go through various screening processes based on what their role will be at KAES. Direct employees of KAES are employed under the Employment-At-Will Policy and as such, may be terminated at any time where not prohibited by law or separate contract of employment. KAES maintains a guideline service that allows anyone, including Third Parties, to report concerns anonymously at any time.

We perform internal checks and have contracted with outside services to monitor our business relationships with Third Parties to avoid dealings with individuals or businesses that do not align with our values. All Third Parties go through various screening processes based on the perceived risk of the interaction. KAES maintains a Third Party vetting program which describes the process for selecting Third Parties in alignment with KAES’s risk philosophy, including but not limited to preventing transactions with Third Parties that are in violation of the law. Part of the program focuses on enhanced vetting for Third Parties in high risk jurisdictions. Our suppliers, distributors, and agents may conduct business in locations that are known to have elevated risks. There is no widely known risk of



human trafficking or slavery in the industry, however locations where we have business could have an elevated risk regardless of the industry.

Supplier Adherence to Our Values

We expect all those in our supply chain to comply with our values. We maintain standard Terms and Conditions that require counterparties to fully comply with applicable laws and regulations.

This statement is made and reflects the position of KAES as of 08/14/2019.